

# Level of Siegel modular forms constructed via $\text{sym}^3$ lifting

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**ABSTRACT.** Ramakrishnan-Shahidi proved a lifting from a non-CM elliptic curve  $E$  over  $\mathbb{Q}$  to a degree 2 Siegel cusp form  $F$  of weight 3. We want to better understand the level of the Siegel cusp form  $F$  coming from their lifting. Moreover, we are interested in the level of  $F$  with respect to different congruence subgroups.

## 1. Introduction

Kim and Shahidi [KS02] proved the Langlands functoriality from  $\text{GL}(2, \mathbb{A})$  to  $\text{GL}(4, \mathbb{A})$  coming from the symmetric cube ( $\text{sym}^3$ ) map from  $\text{GL}(2, \mathbb{C})$  to  $\text{GL}(4, \mathbb{C})$ . Ramakrishnan and Shahidi [RS07a] proved the following theorem using the  $\text{sym}^3$  lifting, which generalizes the lifting from a non-CM elliptic curve to a Siegel cusp form of degree 2 and weight 3.

**THEOREM 1.1.** *Let  $\pi \cong \bigotimes_p \pi_p$  be a cuspidal automorphic representation of  $\text{GL}(2, \mathbb{A})$  defined by a holomorphic, non-CM newform  $f$  of even weight  $k \geq 2$ , level  $N$  with trivial central character. Then there exists a cuspidal automorphic representation  $\Pi \cong \bigotimes_p \Pi_p$  of  $\text{GSp}(4, \mathbb{A})$  with trivial central character, which is unramified at any prime  $p$  not dividing  $N$ , such that*

- (1)  $\Pi_\infty$  is a holomorphic discrete series representation, with its parameter being  $\text{sym}^3$  of the archimedean parameter of  $\pi$ .
- (2)  $L(s, \Pi) = L(s, \pi, \text{sym}^3)$ .

In the above theorem, Ramakrishnan and Shahidi lift a cuspidal automorphic representation of  $\text{GL}(2, \mathbb{A})$  to a cuspidal automorphic representation of  $\text{GSp}(4, \mathbb{A})$ . First, they use the functoriality from  $\text{GL}(2, \mathbb{A})$  to  $\text{GL}(4, \mathbb{A})$ . Then via the descent method they obtain a cuspidal automorphic representation of  $\text{SO}(5, \mathbb{A})$  from  $\text{GL}(4, \mathbb{A})$ . Then they construct a cuspidal automorphic representation of  $\text{Sp}(4, \mathbb{A})$  from the cuspidal automorphic representation of  $\text{SO}(5, \mathbb{A})$  and finally they get a cuspidal automorphic representation of  $\text{GSp}(4, \mathbb{A})$  from the representation of  $\text{Sp}(4, \mathbb{A})$ .

By this construction they get a globally generic representation of  $\text{GSp}(4, \mathbb{A})$ . To switch the archimedean component  $\Pi_\infty$  from generic to a holomorphic discrete series, they give a proof using  $\ell$ -adic cohomology.

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## 2. Current Work

**2.1. Different proof of the theorem.** Using results that were not available at the time, we can now give a streamlined proof of the Ramakrishnan-Shahidi theorem involving the following groups, dual groups and liftings.

$$\begin{array}{ccc}
 \mathrm{GL}(2, \mathbb{A}) & \xrightarrow{\text{functoriality}} & \mathrm{GSp}(4, \mathbb{A}) \\
 \downarrow & & \uparrow \\
 \mathrm{GL}(4, \mathbb{A}) & \xrightleftharpoons[\text{functoriality}]{\text{descent}} & \mathrm{SO}(5, \mathbb{A})
 \end{array}
 \qquad
 \begin{array}{ccc}
 \mathrm{GL}(2, \mathbb{C}) & \xrightarrow{\mathrm{sym}^3} & \mathrm{GSp}(4, \mathbb{C}) \\
 \downarrow \mathrm{sym}^3 & & \uparrow \\
 \mathrm{GL}(4, \mathbb{C}) & \longleftrightarrow & \mathrm{Sp}(4, \mathbb{C})
 \end{array}$$

The image under the  $\mathrm{sym}^3$  map lies in  $\mathrm{GSp}(4, \mathbb{C})$ . We can see that the lifting from  $\mathrm{GL}(2, \mathbb{A})$  to  $\mathrm{GSp}(4, \mathbb{A})$  via  $\mathrm{sym}^3$  is functorial at each place, since all other liftings in the above diagram respect functoriality at each place and the local Langlands correspondence is true for  $\mathrm{GL}(2)$  and  $\mathrm{GSp}(4)$ . We use Arthur's packet structure to see that the representation of  $\mathrm{GSp}(4, \mathbb{A})$  is of “general” type, which helps us to prove the claims of the theorem.

**2.2. Level under the paramodular group.** The cuspidal automorphic representations of  $\mathrm{GSp}(4, \mathbb{A})$  are connected to the theory of Siegel cusp forms. One can consider the Siegel cusp forms coming from the  $\mathrm{sym}^3$  lifting. We are interested in finding the level of these Siegel cusp forms under some suitable congruence subgroups. In the paper [RS07a], the level is measured in terms of principal congruence subgroups. However, for a correspondence between cuspidal automorphic representations of  $\mathrm{GSp}(4, \mathbb{A})$  and Siegel cusp forms, it is more convenient to consider a different congruence subgroup known as the paramodular group:

$$K(N) = \mathrm{Sp}(4, \mathbb{Q}) \cap \begin{bmatrix} \mathbb{Z} & N\mathbb{Z} & \mathbb{Z} & \mathbb{Z} \\ \mathbb{Z} & \mathbb{Z} & \mathbb{Z} & N^{-1}\mathbb{Z} \\ \mathbb{Z} & N\mathbb{Z} & \mathbb{Z} & \mathbb{Z} \\ N\mathbb{Z} & N\mathbb{Z} & N\mathbb{Z} & \mathbb{Z} \end{bmatrix}.$$

There is a well understood connection between paramodular forms (Siegel modular forms with respect to the paramodular group) and cuspidal automorphic representations of  $\mathrm{GSp}(4, \mathbb{A})$ , and there is a nice newform theory for paramodular forms [RS07b]. We now focus on finding the level of the paramodular forms obtained by the  $\mathrm{sym}^3$  lifting. The following result, one of the results in [Roy], is about the paramodular forms coming from elliptic curves via the  $\mathrm{sym}^3$  lifting:

**THEOREM 2.1.** *Given a non-CM elliptic curve  $E$  over  $\mathbb{Q}$  with the global minimal Weierstrass equation  $y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$  with coefficients  $a_1, a_2, a_3, a_4, a_6$  in  $\mathbb{Z}$ , there exists a cuspidal paramodular newform  $F$  of weight 3 and level  $M$  such that*

$$L(s, F) = L(s, E, \mathrm{sym}^3),$$

*and the level  $M$  can be determined in an explicit and elementary way from the given Weierstrass coefficients  $a_1, a_2, a_3, a_4, a_6$  of  $E$ .*

In the following table, we give some examples to illustrate Theorem 2.1.

$E/\mathbb{Q}$	Conductor of $E$	Level of $F$
$y^2 + y = x^3 - x^2$	11	$11^3 = 1331$
$y^2 + xy + y = x^3 - x - 2$	$50 = 2 \cdot 5^2$	$2^3 \cdot 5^2 = 200$
$y^2 + xy + y = x^3 + x^2 - 3x + 1$	$50 = 2 \cdot 5^2$	$2^3 \cdot 5^4 = 5000$
$y^2 + xy = x^3 - x^2 - 3x + 3$	$54 = 2 \cdot 3^3$	$2^3 \cdot 3^5 = 1944$
$y^2 + xy = x^3 + x^2 - 2x - 7$	$121 = 11^2$	$11^2 = 121$
$y^2 + y = x^3 - x^2 - 7x + 10$	$121 = 11^2$	$11^4 = 14641$
$y^2 + xy + y = x^3 - x^2 - 5x + 5$	$162 = 2 \cdot 3^4$	$2^3 \cdot 3^4 = 648$
$y^2 + xy = x^3 - x^2 + 3x - 1$	$162 = 2 \cdot 3^4$	$2^3 \cdot 3^6 = 5832$
$y^2 + xy = x^3 - x^2 + 3x + 5$	$486 = 2 \cdot 3^5$	$2^3 \cdot 3^7 = 17496$

Note that we may have different paramodular levels for elliptic curves with the same conductor. This indicates that the level of the paramodular form  $F$  does not only depend on the conductor  $E$ , but on some data involving the coefficients of the Weierstrass equation of  $E$ .

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